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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCI Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

| UTILITY | | Attorney Docket No. | | 03938- P0001A | | | | | |
|--|---|---------------------|---|---|---|--|--|--|--|
| | | First In | | Peter Rutkowski | | | | | |
| | | | ight Diffusing Device | | | | | | |
| | | | s Mail Label No. EL 550 086 113 US | | | | | | |
| APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents | | | Commissioner for Patents ADDRESS TO: Mail Stop Patent Application Alexandria, VA 22313-1450 | | | | | | |
| 1. Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original, and a duplicate for fee processing) | | | CD-ROM or CD-R in duplicate, large table or | | | | | | |
| 2. Applicant claims small entity status See 37 CFR 1.27. | | | 8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) 9. Omputer Program (Appendix) 1. Omputer Program (Appendix) 2. Omputer Program (Appendix) 3. Omputer Program (Appendix) 4. Omputer Program (Appendix) 5. Omputer Program (Appendix) 6. Omputer Program (Appendix) 7. Omputer Program (Appendix) 8. Nucleotide and/or Amino Acid Sequence Submission 9. Omputer Program (Appendix) 9. Omputer Progra | | | | | | |
| 3. Specification | - · · · · · · · · · · · · · · · · · · · | | | a. Computer Readable Form (CRF) | | | | | |
| (preferred arrangement set forth below) | | | b.: Specification Sequence Listing on: | | | | | | |
| - Descriptive title of the Invention - Cross References to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description | | | i. ☐ CD-ROM or CD-R (2 copies); or ii. ☐ paper | | | | | | |
| | | | c State | ement verifying identity of a | above copies | | | | |
| | | | ACCOMPANYING APPLICATION PARTS | | | | | | |
| | | | g. Assignment Papers (cover sheet & document(s)) | | | | | | |
| - Claims(s) - Abstract of the Disclosure ` | | | 140 | FR 3.73(b) Statement on there is an assignee) | Power of Attorney | | | | |
| 4. Drawings(s) (3 | 5 USC 113) [Total Sheets | 2 | 11. Engl | ish Translation Document | (if applicable) | | | | |
| 5. Oath or Declaration | [Total Pages | 2 | 140 171 | mation Disclosure ement (IDS)/PTO-1449 | Copies of IDS Citations | | | | |
| a. 🔀 New | executed (original or copy) | | 13. Preli | minary Amendment | | | | | |
| b. Copy from prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 18 completed) | | | | rn Receipt Postcard (MPE ould be specifically itemized | | | | | |
| i. DELETION OF INVENTOR(S) Signed statement attached deleting inventors(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). | | | 15. Certified Copy of Priority Document(s) (if foreign priority is claimed) | | | | | | |
| | | | Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 of its equivalent. | | | | | | |
| 6. Application Dat | ta Sheet. See 37 CFR 1.76 | | | •r | *************************************** | | | | |
| 18. If a CONTINUING APF or in an Application Data S | PLICATION, check appropriate to Sheet under 37 CFR 1.76: | box and supp | oly the requisite inforn | nation below and in a prelimin | ary amendment, | | | | |
| Continuation | | ation-in-part | of prior app | lication No.: | | | | | |
| Prior application information: Examiner Group/Art Unit: | | | | | | | | | |
| For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. | | | | | | | | | |
| | 18. CO | RRESPON | DENCE ADDRES | S | | | | | |
| ☐ Customer Number of Bar Code Label | | | | | | | | | |
| Name | Richard J. Basile | | | | | | | | |
| Address | St.Onge Steward Johnston & Reens LLC | | | | | | | | |
| , | 986 Bedford Street | | T-2. | | T | | | | |
| City | Stamford | | State CT | Zip Code | 06905-5619 | | | | |
| Country | United States Tele | phone | 203 324-615 | 5 Fax | 203 327-1096 | | | | |
| Name (Print/Type) | Richard J. Basile | | Registration No | o. (Attorney/Agent) | 40,501 | | | | |
| Signature | 1300 | e | | Date 7/_ | Z4+2003 | | | | |

71-24-12003

Date

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| ender and respondent reduction reduction and required | | | Complete if Known | | | | | |
|---|--------------|---|--------------------|---------------|---|-------------|--|--|
| ե FEE TRANSMITTAL | | Application No. | | D. | Pending | Pending | | |
| E - | | Filing Date | | | July <u>3 4</u> , 2003 | | | |
| for FY 2001 Patent fees are subject to annual revision | | First Named Inventor | | nventor | Peter Rutkowski | | | |
| G | | Examiner Name | | | T OLOF T CALLOWORK | | | |
| Patent fees are subject to annual revision | | | | | | | | |
| QTAL AMOUNT OF PAYMENT (\$) 531. | 20 | Group Art Unit Attorney Docket Number 03938-P0001A RJB | | | per 03938-P0001A RJB | | | |
| | | Attorne | y Dock | et Numb | 03936-P000TA RJB | | | |
| METHOD OF PAYMENT (check one) | | FEE CALCULATION (continued) | | | | | | |
| 1. The Commissioner is hereby authorized to charge indicated fees and credit any over payment to: | | DITION | | | | | | |
| Deposit | Large Fee | Entity Fee | Small Fee | Entity Fee | | | | |
| Account 19-4516 | Code 105 | (\$) | Code | (\$) | Fee Description | Fee Paid | | |
| Number [| | 130 | 205 | 65 | Surcharge – late filing for or oath | | | |
| Deposit Account Name St.Onge Steward Johnston & Reens LLC | 127 | 50 | 227 | 25 | Surcharge – late provisional filing or cover sheet | | | |
| Charge Any Additional Fee Required Under CFR 1.16 and 1.17 | 139 | 130 | 139 | 130 | Non-English specification | | | |
| Applicant daims small entity status. See 37 CFR 1.27 | | 2,520 | 147 | 2,520 | For filing a request for ex parte reexamination | | | |
| 2. X Payment Enclosed: | | 920* | 112 | 920* | Requesting publication of SIR prior to | | | |
| Check Credit Card Money Other | 112 | 1,840* | 113 | 1,840 | Examiner action Requesting publication of SIR after Examiner Action | | | |
| FEE CALCULATION | 115 | 110 | 215 | 55 | Extension for reply within first month | | | |
| 1. BASIC FILING FEE | | 410 | 216 | 205 | Extension for reply within second month | | | |
| Large Entity Small Entity Fee | 117 | 930 | 217 | 465 | Extension for reply within third month | | | |
| Code (\$) Code (\$) Fee Description Fee Paid | 118 | 1,450 | 218 | 725 | Extension for reply within fourth month | | | |
| 101 750 201 375 Utility filing fee \$375.00 | 128 | 1,970 | 228 | 985 | Extension for reply within fifth month | | | |
| 106 330 206 165 Design filing fee | 119 | 320 | 219 | 160 | Notice of Appeal | | | |
| 107 520 207 260 Plant filing fee | 120 | 320 | 220 | 160 | Filing a brief in support of an appeal | | | |
| 108 740 208 370 Reissue filing fee | 121 | 280 | 221 | 140 | Request for oral hearing | | | |
| 114 160 214 80 Provisional filing fee | 138 | 1,510 | 138 | 1,510 | Petition to institute a public use proceeding | | | |
| SUBTOTAL (1) (\$) 375.00 | | 110 | 240 | 55 650 | Petition to revive – unavoidable | | | |
| Extra Fee from | 141 | 1,300 | 241 | | Petition to revive – unintentional | | | |
| Claims Below Fee Paid | 142 | 1,300 | 242 | 650 | Utility issue fee (or reissue) | | | |
| Total Claims 28 -20**= 8 X 9 = \$72.00 | 143 | 470 | 243 | 235 | Design issue fee | | | |
| Independent 5 - 3** = 2 x 42 = 84.00 | 144 | 630 | 244 | 315 | Plant issue fee | | | |
| Multiple Dependent X = | 122 | 130 | 122 | 130 | Petitions to the Commissioner | | | |
| Larg Entity Small Entity e Fee Fee Fee Fee Description | 123 | 50 | 123 | 50 | Processing fee under 37 CFR 1.17(q) | | | |
| Fee (\$) Code (\$) | 126 | 240 | 126 | 240 | Submission of Informational Disclosure Stmt | | | |
| Code | 581 | 40 | 581 | 40 | Recording each patent assignment per Property (times number of properties) | | | |
| 103 18 203 9 Claims in excess of 20 102 84 202 42 Independent claims in excess of 3 | 146 | 750 | 246 | 375 | Filing a submission after final rejection (37(CFR § 1.129(a)) | | | |
| 102 84 202 42 independent claims if excess of 3 | 149 | 750 | 249 | 375 | For each additional invention to be examined (37 CFR 1.129(b)) | | | |
| 109 80 209 40 ** Reissue independent claims over original patent | 179 | 750 | 279 | 375 | Request for Continued Examination (RCE) | | | |
| 110 18 210 9 ** Reissue claims in excess of 20 and over original patent | | 900 | 169 | 900 | Request for expedited examination of a design application | | | |
| ** or number previously paid, if greater; For Reissues, see above | Other f | Other fee (specify) | | | | | | |
| SUBTOTAL (2) (\$) 156.00 | * Redi | Reduced by Basic Filing Fee paid SUBTOTAL (3) (\$) | | | | | | |
| SUBMITTED BY St.Onge Steward Johnston & Reens LLC Complete (if applicable) | | | | | | | | |
| Name (Print Type) Richard J. Basile | | Registrat (Attorney | ion No. /Agent) | 40,50 | | -6155 | | |

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Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORM TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Signature